SOLLINDA CAPITAL MANAGEMENT

OCTOBER 2026

Sollinda Capital Management, LLC is an SEC-registered investment adviser that provides investment advisory services. Investment advisory and brokerage services and fees differ, and it is important to understand the differences. Free and simple tools to research firms and investment professions can be found at Investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers, and investing.

What investment services and advice can you provide me?

We offer investment advisory services to retail investors and a private fund, and additional services including asset management, operational support, and technology platform to registered investment advisers. Our portfolio management services incorporate model asset allocation portfolios consisting primarily of mutual funds, ETFs, stocks, and bonds. The portfolios are generally limited to only these types of investments as part of an allocation strategy designed to meet your individual needs and objectives. Your investments will be monitored and reviewed for account performance, asset allocation, and meeting personal risk objectives as part of our standard service. Our asset management services incorporate advice including liquid, public securities, and funds. We may use mutual funds, fixed income securities, real estate funds, equities, ETFs, and/or other securities to help diversify a portfolio, when applicable. When providing our services to clients, we will accept discretionary authority as agreed by you in writing. This authority grants us the ability to buy, sell, or otherwise affect transactions involving the assets in your account without seeking your consent. You may place restrictions on our discretionary activity including restrictions on the term of investments, the types of securities permitted, the credit ratings allowed, and the liquidity of the account. These restrictions may be changed upon your written notice. We also offer non-discretionary services.

To open an account with us, we generally do not require a minimum account size. This requirement may be waived at our sole discretion.

For additional information on our services and types of clients, please see our Form ADV Part 2A Brochure, Item 4 and Item 7, which can be found on the SEC's website at www.advisorinfo.sec.gov.

Conversation Starters

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

What fees will I pay?

Generally, you will be charged asset-based fees calculated as a percentage of the assets we manage for you. We charge these fees on a monthly or quarterly basis. This fee structure allows us to earn more when the value of your account grows, but less when it declines. While we believe this keeps our interests aligned with yours, it can potentially create an incentive for us to encourage you to increase the assets in your account. We also charge hourly and fixed fees for our financial planning and consulting services, which are separate from our portfolio management services. There are also additional fees and costs related to our services. For example, the custodian for your account could charge brokerage commissions and other transaction-based fees; however, certain custodians no longer charge these fees. It is important that you fully understand a custodian's offerings and expenses prior to selecting them. You will also pay fees and expenses charged by investment products such as mutual funds and ETFs that are held in your account. For our sub-advisory services, you will pay an annual fixed sub-advisory fee as negotiated and established directly with you in our sub-advisory agreement. The fee will be charged in advance on a monthly or quarterly basis. We do not receive any consideration or fees beyond the sub-advisory fee. Fees paid are separate and distinct from the fees and expenses charged by mutual funds, ETFs, or other investment pools. We do not receive 12b-1 fees in connection with mutual funds purchased or held for client accounts.

Clients investing in the private fund will pay a fee based on the performance of their investment returns.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information on our fees and compensation, billing practices, and other types of fees or expenses clients will pay in connection with our advisory services, please see our Form ADV Part 2A Brochure, Item 5, which can be found on the SEC's website at www.advisorinfo.sec.gov.

Conversation Starter

Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you.

Conversation Starter

How might your conflicts of interest affect me, and how will you address them?

For additional information about our conflicts of interest that can affect our advisory relationship with you, please see our Form ADV Part 2A Brochure, which can be found on the SEC's website at www.advisorinfo.sec.gov.

How do your financial professionals make money?

Our financial professionals are generally compensated based on fees charged for assets under management or other services provided. This compensation creates a conflict of interest because more assets in an account can lead to more fees paid.

Do you or your financial professionals have legal or disciplinary history?

No, to our firm; yes, to our financial professionals. A free and simple search tool to research us and our financial professionals is available at Investor.gov/CRS.

Conversation Starter

As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional information about our investment advisory services and a copy of the relationship summary is available by contacting us at 859-287-1657.

Conversation Starter

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?

Addendum:
Material Changes

- Included the private fund in the "What investment services and advice can you provide me?" section.
- Included information about the private fund performance fee in the "What fees will I pay?" section.
- Updated the "Do you or your financial professionals have legal or disciplinary history?" section to include the three advisers' disclosures.